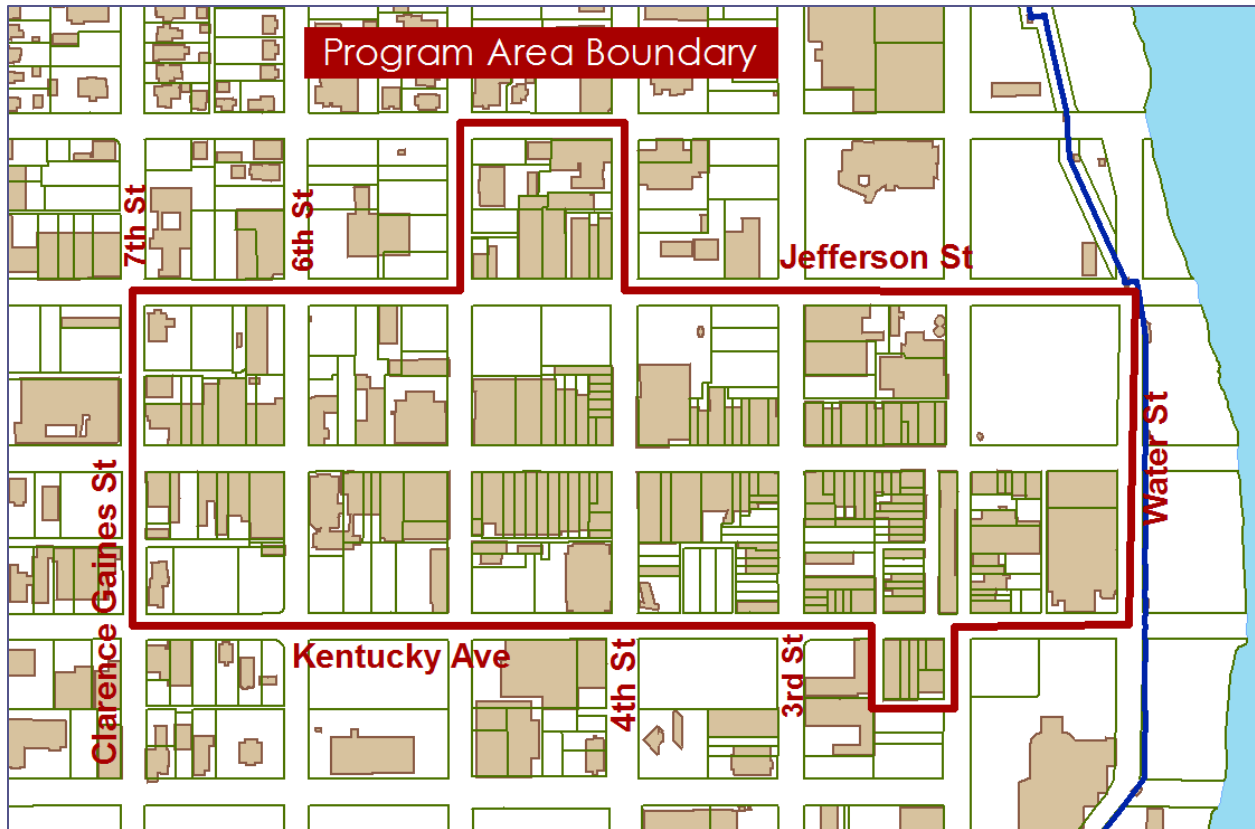

ROOF STABILIZATION ASSISTANCE PROGRAM



Section 34-131 through 34-136 Code of Ordinances City of Paducah, Kentucky

Authorized by Ord. 2013-08-8056 on August 13, 2013



Program by the City of Paducah
Department of Planning / Paducah Main Street
300 South 5th St, Suite 209 City Hall
P.O. Box 2267, Paducah, KY 42002-2267
Phone: 270-444-8690
Email: MainStreet@Paducahky.gov
<http://www.paducahky.gov/downtown-development-programs>

Roof Stabilization Assistance Program

PROGRAM DESCRIPTION

The Roof Stabilization Assistance program is in response to the large number of historic buildings in Downtown Paducah that have roof problems that endanger the fabric and appearance of the historic area. By providing grants to property owners to repair their roofs, the City of Paducah & Paducah Main Street help stop the further deterioration of the historical assets in our downtown.

Funds are allotted each budget cycle (July 1) to provide reimbursement of the costs for the stabilization and replacement roofs of eligible buildings in the Roof Stabilization Program Area. The program area is delineated on the preceding map. **Reimbursement is up to 50%** of the costs; however no grant may be more than half of the annual allotment.

Eligible roof stabilization improvements must be a permanent, water tight sustainable leak proof roofing system. **Patches or leak repair are not eligible under this program.** Minimum 10-year warranty is required. The City of Paducah reserves the right to approve or deny any application. Grant awards will be given based on availability of funds. Periodically during the fiscal year, the City of Paducah requests applications for the purpose of roof stabilization.

To determine eligibility, the applications reviewed in accordance with the following criteria:

- Urgency of roof stabilization = 25%
- Contributing structure on the National Register of Historic Places = 25%
- Historic relationship to adjacent structures = 25%
- Capital commitment of owner to rehabilitate remaining structure = 25%

HOW TO REQUEST ASSISTANCE

Please reference City Code Sec. 34-131 through 34-136 (Ord. #2013-8-8056) for the program details. Application must be made **before actual work on the roof begins.**

Complete the application and provided the required submittals to the City of Paducah, Department of Planning. Note: Only complete applications will be considered. If you have questions regarding application paperwork, contact the Paducah Main Street Program prior to submittal.

Staff will review the application for completeness and determine eligibility. Eligible applications will be referred to the URCDA board for consideration. URCDA has authority to approve grants up to \$50,000; City Commission has authority to approve grants over \$50,000.

CITY OF PADUCAH LIST OF QUALIFIED ROOF CONTRACTORS

Atlas Roofing Contractors Inc.

Post Office Box 610
Paducah KY 42002-0610
Phone: (270) 442-6175

Gordon Roofing

829 South 3rd Street
Paducah, KY
(270) 444-9111

Minter Roofing Company Inc.

Post Office Box 406
Benton, KY 42025
Phone: (270) 527-1438

Southern Roofing Company Inc.

400 Nip Drive
Paducah, KY 42003
Phone: (270) 443-9375



APPLICATION Roof Stabilization Assistance Program



ABOUT THE BUILDING & PROPERTY WHERE IMPROVEMENTS WILL BE MADE

ADDRESS _____

CURRENT USE OF THE BUILDING _____

APPLICANT'S INFORMATION

NAME _____

ADDRESS _____

PHONE _____ ALTERNATE PHONE _____

EMAIL _____

PROPERTY OWNERS' INFORMATION (IF DIFFERENT THAN APPLICANT)

NAME _____

ADDRESS _____

PHONE _____ ALTERNATE PHONE _____

EMAIL _____

NAME OF THE SELECTED COMPANY TO DO THE REPAIRS

Is this address located within the Roof Stabilization Project Area (see map)? Yes No

Is the structure a contributing structure within the Downtown Historic District? Yes No

Do you own the property? Yes No

Do you have a pending sales contract on property? Yes No

Are the property taxes paid up to date? Yes No

Are there any current code violations pending on the property? Yes No

Do you have property Insurance? Yes No

Have any insurance monies been paid for the roof or stabilizing structural components in the last five years? Yes No *If Yes, claim documentation and detail of payment must be provided.*

REQUIRED SUBMITTALS

The following information MUST be submitted:

- ____ A. Clear, current **photographs** showing the current physical conditions
- ____ B. **Letter explaining** the urgency of the Roof Stabilization Project and the capital commitment by the owner to rehabilitate the remaining structure, if needed
- ____ C. Proof of **financial ability** to complete 100% of roof stabilization project. The grant is a reimbursement of up to 50% of project costs.

Application for Roof Stabilization Assistance

- ____ D. Three current **Third Party Estimates** of work to be done from a qualified roofing contractor. Estimates must include a detailed itemization of the scope of work that includes:
1. Tear off of all previous roofing material down to decking as required on all commercial re-roofs.
 2. A cost per square foot for decking/structural wood repairs
 3. Specific substrate material and thickness of roofing membrane (i.e. 1.5" ISO mechanically fastened, 60 mil. EPDM fully adhered)
 4. Flashing of all penetrations including parapet walls
 5. Repair/replace coping, gutters, downspouts, scuppers, etc.
 6. 10-year City of Paducah Warranty.
- Any estimate that does not include all of the aforementioned requirements shall be deemed unresponsive.
- ____ E. **List of owners**/partners or officers/directors who have ownership in the property
- ____ F. **Property deed** and/or sign sales contract (copy)
- ____ G. Property **Insurance** Policy (copy)
- ____ H. City of Paducah Business **License** (copy)
- ____ I. **W-9** completed

ATTESTATION:

I certify that all statements on this application are true and correct to the best of my/our knowledge. My signature below means that I have read the Roof Stabilization Assistance Ordinance # 2013-8-8056 and that I understand the City's program. I hereby grant permission for the City of Paducah to verify the information supplied on my application.

I understand that this program is funded by the City of Paducah and funds and resources may or may not be available on a yearly basis. Therefore, by signing this application, I understand there is no guarantee of acceptance into the program. Building permits for the project must be obtained by June 30th of the current fiscal year. Final deadline for project completion is sixty days into the next fiscal year. Failure to meet the deadline renders the project ineligible to receive grant funding.

I understand that the City of Paducah will review all applications and further reserves the right to approve or deny any application.

I understand that City **incentives are considered taxable income**, and by law, the City of Paducah is required to report this as taxable income and that a Form 1099 will be sent if an incentive is provided.

I agree to allow the City of Paducah to use illustrations, photographs, or other images of this project for reports, publications and advertisements both print and electronic.

A copy of the minimum required Ten Year Warranty included with this application.

Applicant signature _____ Date _____

Title _____

Company _____

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	
	<input type="checkbox"/> Exempt payee	
	Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
City, state, and ZIP code		
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number									

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Employer identification number									

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,
- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a “saving clause.” Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called “backup withholding.” Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see *Special rules for partnerships* on page 1.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the “Name” line. You may enter your business, trade, or “doing business as (DBA)” name on the “Business name/disregarded entity name” line.

Partnership, C Corporation, or S Corporation. Enter the entity's name on the “Name” line and any business, trade, or “doing business as (DBA) name” on the “Business name/disregarded entity name” line.

Disregarded entity. Enter the owner's name on the “Name” line. The name of the entity entered on the “Name” line should never be a disregarded entity. The name on the “Name” line must be the name shown on the income tax return on which the income will be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a domestic owner, the domestic owner's name is required to be provided on the “Name” line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the “Business name/disregarded entity name” line. If the owner of the disregarded entity is a foreign person, you must complete an appropriate Form W-8.

Note. Check the appropriate box for the federal tax classification of the person whose name is entered on the “Name” line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the “Name” line is an LLC, check the “Limited liability company” box only and enter the appropriate code for the tax classification in the space provided. If you are an LLC that is treated as a partnership for federal tax purposes, enter “P” for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter “C” for C corporation or “S” for S corporation. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the “Name” line) is another LLC that is not disregarded for federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the “Name” line.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the "Business name/disregarded entity name," sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
 2. The United States or any of its agencies or instrumentalities,
 3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
 4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
 5. An international organization or any of its agencies or instrumentalities.
- Other payees that may be exempt from backup withholding include:
6. A corporation,
 7. A foreign central bank of issue,
 8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
 9. A futures commission merchant registered with the Commodity Futures Trading Commission,
 10. A real estate investment trust,
 11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
 12. A common trust fund operated by a bank under section 584(a),
 13. A financial institution,
 14. A middleman known in the investment community as a nominee or custodian, or
 15. A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 5 and 7 through 13. Also, C corporations.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 7 ²

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: *A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.*

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, below, and items 4 and 5 on page 4 indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see *Exempt Payee* on page 3.

Signature requirements. Complete the certification as indicated in items 1 through 3, below, and items 4 and 5 on page 4.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee ¹ The actual owner ¹
5. Sole proprietorship or disregarded entity owned by an individual	The owner ³
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity ⁴
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

*Note. Grantor also must provide a Form W-9 to trustee of trust.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

Ten-Year Roofing Guarantee and Warranty

Project/Work Location _____

Owners Name _____

Owner's Address _____

Contractor Name _____

Company Name _____

Company Address _____

Date of Substantial Completion as certified by Contractor _____

Name of Manufacturer _____

Manufacturer's Warranty/Guarantee/Project/Claim Number _____

Phone number for claim or response _____

1. The above named Contractor hereby guarantees to Owner, subject to the limits stated herein, that the labor, materials and workmanship are in accordance with the contract documents, best standards of the industry, and the Manufacturer's requirements and such are free from defect in material and workmanship.
2. The above named Contractor warrants the work to be and to remain watertight, free of evidence of major deterioration and failure (or pending failure). Normal aging of the roofing materials and normal wear and tear will not be considered major deterioration. Excessive failures or defects shall be evidence of major deterioration.
3. This warranty shall require the above named Contractor to pay for materials and labor required to repair the Roofing System to return in to a watertight condition if leaks occur due to: (1) ordinary wear and tear of any or all of the component materials of the Roofing System; or (2) workmanship deficiencies at the time of application of the Roofing System, all without additional cost to the Owner. Such replacement or repair work shall be equal to the existing system furnished under this contract, and shall conform with the recognized standards of the National Roofing Contractors' Association or as otherwise mutually agreed.
4. The Warranty does not obligate the Contractor to repair the Roofing System, or any part of the Roofing System, for leaks resulting from (a) natural disasters or acts of God; (b) misuse, abuse or negligence; (c) exposure of the Roofing System components to damaging substances such as oil or solvents or to damaging conditions such as vermin; (d) changes to the roofing system not pre-approved in writing by the manufacturer; (e) failure of the Building substrate (mechanical, structural or otherwise and whether resulting from Building movement, design, defects or other causes), or improper drainage (ponding water greater than 48 hours); or (f) damages caused by vandalism, act of the Owner or others.
5. The Contractor shall not be responsible for leaks and damage resulting from water entry from any portion of the building structure not a part of the Roofing System.
6. The above named Contractor guarantees to fairly reimburse the Owner/City of Paducah the cost or value of the damages to the building(s) or contents caused by defects in materials or workmanship.
7. The time period covered by the requirement of this Guarantee paragraph number 1 through 6 above, shall be a follows:
 - a. During construction; and,

CONTRACTORS AFFIDAVIT, WARRANTY AND LIEN WAIVER

REGARDING THE IMPROVEMENT PROJECT AT:

ADDRESS OF THE
PROPERTY

FULL NAME OF
BUSINESS OWNER

FULL NAME OF
CONTRACTOR

ADDRESS OF
CONTRACTOR

PRIMARY PERMIT #

TOTAL VALUE \$

KNOW ALL MEN BY THESE PRESENT:

The undersigned, being duly sworn, do attest that the improvements on this property have been fully and satisfactorily completed.

All the materials used, all labor performed, and all fees, insurance and permits, in connection with the improvements which might give rise to liens on this property have been paid in full.

Listed below are the subcontractors and major material-men located within the Purchase Area of Western Kentucky included in this work:

The affidavit hereby waives any lien or right to lien against this property and warrants to save harmless the Property Owner from any liens that are now in existence, or may hereafter arise by reason of these improvements, and cause the same to be released of record immediately.

The foregoing waiver and these statements are an express warranty and representation of the facts as sworn to and compensation has been made, and the receipt of the compensation is acknowledged.

CONTRACTORS AFFIDAVIT, WARRANTY AND LIEN WAIVER

THIS PAGE MUST BE WITNESSED AND NOTARIZED

I, _____, SUBSCRIBED AND SWORN, this _____ day of _____, 20____, the names we have provided above to the City of Paducah, Kentucky, are the subcontractors and material suppliers used on the project and further declare that all persons, firms, associations, or other entities furnishing labor, materials, equipment or supplies in connection with the project have made full payment to others for labor, materials, equipment and supplies furnished and therefor have no lien or claim rights as of this release date on accounts of any labor, material, equipment, supplies or other facilities furnished by it, or by others for it, in connection with the project.

IN TESTIMONY WHEREOF, witness the signature of said contractors as of the day and year written below.

_____ <i>Contractor Signature</i>	_____ <i>Printed Name</i>	_____ <i>Company Name</i>	_____ <i>Date</i>
_____ <i>Contractor Signature</i>	_____ <i>Printed Name</i>	_____ <i>Company Name</i>	_____ <i>Date</i>
_____ <i>Contractor Signature</i>	_____ <i>Printed Name</i>	_____ <i>Company Name</i>	_____ <i>Date</i>
_____ <i>Contractor Signature</i>	_____ <i>Printed Name</i>	_____ <i>Company Name</i>	_____ <i>Date</i>
_____ <i>Contractor Signature</i>	_____ <i>Printed Name</i>	_____ <i>Company Name</i>	_____ <i>Date</i>
_____ <i>Contractor Signature</i>	_____ <i>Printed Name</i>	_____ <i>Company Name</i>	_____ <i>Date</i>

COMMONWEALTH OF KENTUCKY)
)SS
COUNTY OF _____)

The foregoing instrument was acknowledged before me on this _____ day of _____, 20_____.

My Commission expires: _____

Notary signature _____

Notary printed name _____

Notary Public ID # _____



REQUEST FOR REIMBURSEMENT Roof Stabilization Assistance Program



The owner requests reimbursement under the Roof Stabilization Assistance Program as authorized by City Code Sec. 34-131.

ADDRESS OF THE PROPERTY

FULL NAME OF BUSINESS OWNER

REQUESTED REIMBURSEMENT

CONTRACT PRICE/ PERMITTED VALUE

REQUIRED DOCUMENTATION SUBMITTED

- A. Certificate of Completion (verification by City inspector)
- B. Permit issued for the roof work (copy)
- C. Contractors Affidavit, Warranty And Lien Waiver executed by any contractor working on the project, certifying that all eligible costs have been paid in full
- D. Guarantee & Warranty: 10-year for replacement; 2-year for repair (City form or equivalent)
- E. Proof of payment
- F. Pictures of completed project
- G. Completed W-9 form

ATTESTATION:

I certify that all costs for materials and labor have been paid and no one can claim a mechanic's lien against the subject property in accordance with KRS Chapter 276.

I understand that City incentives are **considered taxable income**, and by law, the City of Paducah is required to report this as taxable income and that a Form 1099 will be sent if an incentive is provided.

I hereby grant permission for the City of Paducah to verify the information supplied on my application.

I agree to allow the City of Paducah to use illustrations, photographs, or other images of this project for reports, publications and advertisements both print and electronic.

I certify that all statements on this request and submitted documentation are true and correct to the best of my/our knowledge.

Owner signature _____ Date _____

ATTESTATION:

As Contractor, I certify that I completed the roof replacement / stabilization and have been paid in full.

I certify that construction was in accordance with manufacturer's standards.

I certify that all statements on this request and submitted documentation are true and correct to the best of my/our knowledge.

Contractor's Signature _____ Date _____

Printed Name _____

Request for Reimbursement – Roof Stabilization – Page 2

THIS SECTION TO BE COMPLETED BY CITY STAFF	
Total Permitted Value	Application #
COO / Licenses OK	Amount Approved
Lien Waivers In	Warranty
Hearing date	Hearing result
PROJECT COMPLETION VERIFICATION	
_____	_____
<i>Staff</i>	<i>Date</i>
APPROVAL OF PAYMENT OF INCENTIVE	
_____	_____
<i>Director of Planning</i>	<i>Date</i>